



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

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Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/065,802	11/20/2002	Martin Trapp	YOR920020159

CONFIRMATION NO. 6567

00877  
IBM CORPORATION, T.J. WATSON RESEARCH CENTER  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

FORMALITIES LETTER



\*OC000000009178076\*

Date Mailed: 12/02/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/31/2003 BNGUYEN1 00000159 090468 10065802

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Trapp et al.

Docket No.: YOR920020159US1

Serial No.: 10/065,802

Confirmation No.:6567

Filed: November 20, 2002

Examiner:

For: METHOD AND APPARATUS FOR SECURE PROCESSING OF SENSITIVE DATA

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Being filed herewith are copies of the following:

- A) Notice to File Missing Parts of Non-Provisional Application

In response to the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted, dated December 02, 2002. Applicants enclose herewith a properly executed original Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63. The \$130.00 fee to cover the surcharge under 37 C.F.R. §1.16(e) is hereby authorized to be charged to Deposit Account No. 09-0468. A copy of the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted in further enclosed.

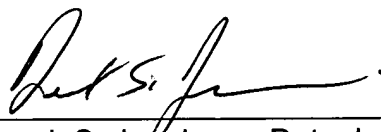
- B) Deposit Account Authorization Form
- C) Declaration and Power of Attorney
- D) Recordation Form Cover Sheet
- E) Assignment to IBM
- F) Submission of Priority Document
- G) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,



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Derek S. Jennings - Date January 24, 2003  
Reg. Patent Agent/Patent Engineer  
Reg. No. 41,473  
Tel. No. (914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Trapp et al.

Docket No.: YOR920020159US1

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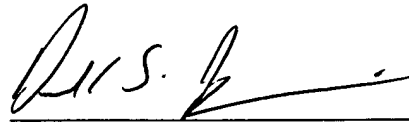
Assistant Commissioner for Patents  
Washington, D.C. 20231

**DEPOSIT ACCOUNT AUTHORIZATION FORM**

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated December 02, 2002, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,



Derek S. Jennings  
Reg. Patent Agent/Engineer  
Reg. No.: 41,473  
Tel. No.: (914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598

Docket No.: YOR920020159US1



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Trapp et al.

Docket No.: YOR920020159US1

Serial No.: 10/065,802

Confirmation No.:6567

Filed: November 20, 2002

Examiner:

For: METHOD AND APPARATUS FOR SECURE PROCESSING OF SENSITIVE DATA

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)**

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Non-Provisional Application  
Transmittal Letter  
Deposit Account Authorization Form  
Declaration and Power of Attorney  
Recordation Form Cover Sheet  
Assignment to IBM  
Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

on January 24, 2003

Margaret McCabe  
(Type or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)